

Serial No. 10/065,281  
Attorney Docket No.: F-521

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Patent

APR 02 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:	) Date: April 2, 2007
John F. Braun, et al.	) Attorney Docket No.: F-521
Serial No.: 10/065,281	) Customer No.: 00919
Filed: September 30, 2002	) Group Art Unit: 2624
Confirmation No.: 5695	

For: METHOD AND SYSTEM FOR CREATING AND SENDING A FACSIMILE  
USING A DIGITAL PEN

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

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This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ **37 CFR §1.97(b)** - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ **37 CFR §1.97(c)** - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**\*\* Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ **37 CFR §1.97(d)** - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**Specified Statement under 37 CFR § 1.97(e)(1)** - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**Specified Statement under 37 CFR § 1.97(e)(2)** - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

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A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 16-1885.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



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Attorney of Record  
Telephone (203) 924-3180

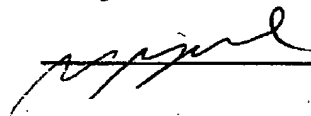
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Intellectual Property and  
Technology Law Department  
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Plus attachments: Form PTO-1449 (Modified)

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, on April 2, 2007 (Date of Transmission).

George M. Macdonald, Reg. No. 39,284 (Name of Registered Rep.)

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FORM PTO-1449 (Modified)				Atty. Docket Number F-521		Serial No. 10/065,281	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant John F. Braun, et al.			
				Filing Date September 30, 2002		Group Art Unit 2625	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,941,510	09-2005	Ozzie, et al.	715	513	
	AB	6,773,177	08-2004	Denoue, et al.	400	88	
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	AE	6,081,261	06-2000	Wolff, et al.	345	179	
	AF	6,666,376	12-2003	Ericson	235	454	
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	AH	2002/0006212 A1	01-2002	Rhoads, et al.	382	100	
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	AP	5,586,036	12-1996	Pintsov	705	408	
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FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	CA						
	CB						
	CC						
	CD						
	CE						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	DA						
	DB						
	DC						
	DD						
	DE						
	DF						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.							